

PTO/SB/81 (01-05)

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INDICATION FORM**

Application Number	10/773,352 Patent No. 7332861
Filing Date	February 6, 2004
First Named Inventor	William R. Ratcliffe
Title	LIGHT-EMITTING STRUCTURES
Art Unit	2879
Examiner Name	Anthony T. Peny
Attorney Docket Number	3982.008

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I hereby revoke all previous powers of attorney given in the above-identified application.

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Name	Registration Number

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Date 4/21/2008

Name

Bryan J. Vincent

Telephone 805-543-1000

Title and Company

CTO, GENERAL LED, INC.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

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PTO/SB/96 (04-07)

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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: William R. RatcliffeApplication No./Patent No.: 10/773,352Filed/Issue Date: February 5, 2004

APR 22 2008

Entitled: LIGHT-EMITTING STRUCTURES

GENERAL LED, INC.

a. Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either.

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

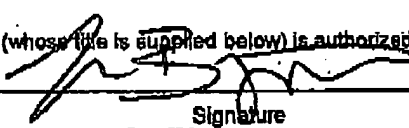
1. From: William R. Ratcliffe To: Marpole International, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 014876, Frame 0067, or for which a copy thereof is attached.
2. From: Marpole International, Inc. To: Agilight, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 017931, Frame 0025, or for which a copy thereof is attached.
3. From: Agilight, Inc. To: Agilight Holdings, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 020599, Frame 0113, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.14.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature
Bryan J. Vincent

4/21/2008

Date
805-543-1000

Printed or Typed Name

CTO - General LED, Inc.

Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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Statement Under 37 CFR 3.37(b) – Supplemental Sheet

Application No. 10/773,352
Patent No. 7332861

4. From: Agilight Holdings, Inc. To: General LED, Inc.

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Reel 020666, Frame 0813, or for which a copy thereof is attached.